



Course on

Formal Language & Automata Theory

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Outline of the Lecture

- Definition of NFA and NFA with ϵ
- Design NFA and NFA with ϵ
- Acceptance of language by NFA
- NFA with ϵ to NFA
- NFA to DFA
- Minimum DFA
- Equivalence of DFA

Non Deterministic Finite Automata (NFA /NDEFA)

Definition: It is a model of machine that has finite set of state and transition function , where the machine in a current state takes i/p symbol and **not uniquely determine** the next state.

Formal Definition

NFA is defined as $M = (Q, \Sigma, \delta, q_0, F)$

Where Q :Finite set of states

Σ : Finite Alphabet

δ :Transition function from $Q \times \Sigma \rightarrow 2^Q$

q_0 :Initial/Start State

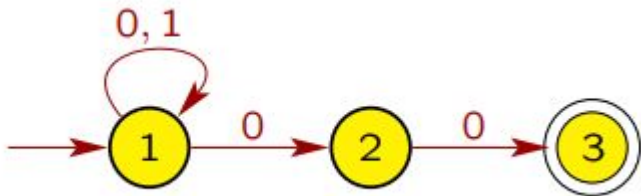
F :Set of final/accepting state

NFA Design over $\Sigma = (0, 1)$

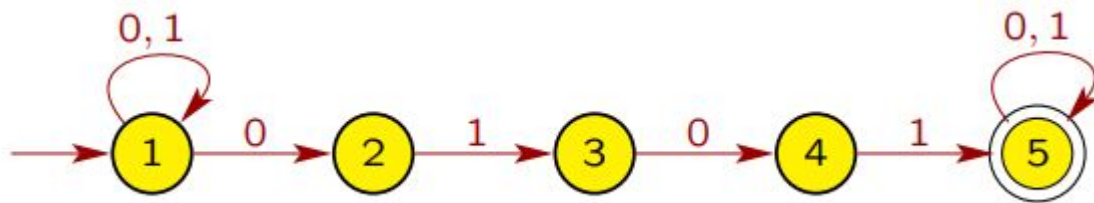
1. Ended with 00
2. Having substring 0101.

Solution:

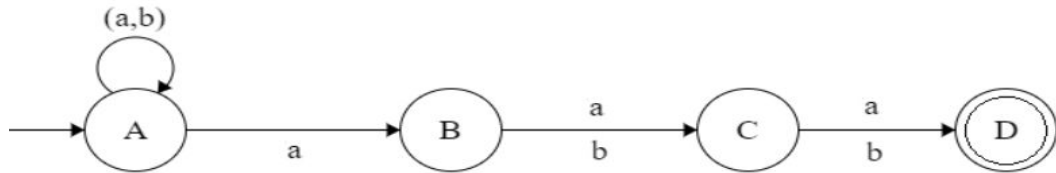
The language $\{ w \in \Sigma^* \mid w \text{ ends with } 00 \}$.



Having substring 0101



3. Third symbol from right is a over $\Sigma = (a, b)$



Acceptance of string by NFA

A string w accepted by NFA 'M' if $\delta(q_0, w) = p$ for some p in final state F . (ie we can reach to the final state after processing to all symbol of the string)

Note: $\delta(\{q_0, q_1\}, w) = \delta(q_0, w) \cup \delta(q_1, w)$

Example: check the string **abb** is accepted by NFA or not

$$\begin{aligned}
 \delta(A, abb) &= \delta(\delta(A, a)bb) = \delta(\{A, B\}, bb) = \delta(\delta(\{A, B\}, b)b) \\
 &= \delta(\delta(A, b) \cup \delta(B, b), b) = \delta(\{A, C\}, b) = \delta(A, b) \cup \delta(C, b) = \{A, D\}
 \end{aligned}$$

As $D \in F$, the language is accepted by NFA

Non Deterministic Finite Automata with ϵ move(NFA with ϵ)

Definition: It is a model of machine that has finite set of state and transition function , where the machine in a current state takes i/p symbol including ϵ and **not uniquely determine** the next state.

Formal Definition

NFA with ϵ is defined as $M = (Q, \Sigma, \delta, q_0, F)$

Where Q :Finite set of states

Σ : Finite Alphabet

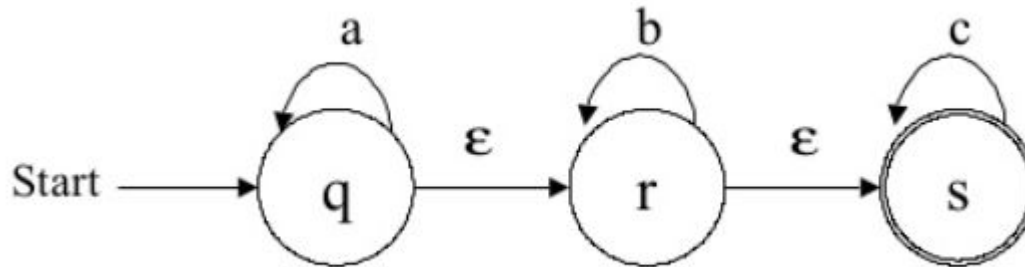
δ :Transition function from $Q \times (\Sigma \cup \epsilon) \rightarrow 2^Q$

q_0 :Initial/Start State

F :Set of final/accepting state

Example $\Sigma = (a, b)$

Accept the language having any number of a followed by any number of b followed by any number of c



ϵ -closure of a state : The ϵ -closure of the state q, denoted $ECLOSE(q)$, is the set that contains q, together with all states that can be reached starting at q by following only ϵ -transitions.

ϵ -closure(q) = { q, r, s }

ϵ -closure(r) = { r, s }

ϵ -closure(s) = { s }

Construct NFA without ϵ from NFA with ϵ

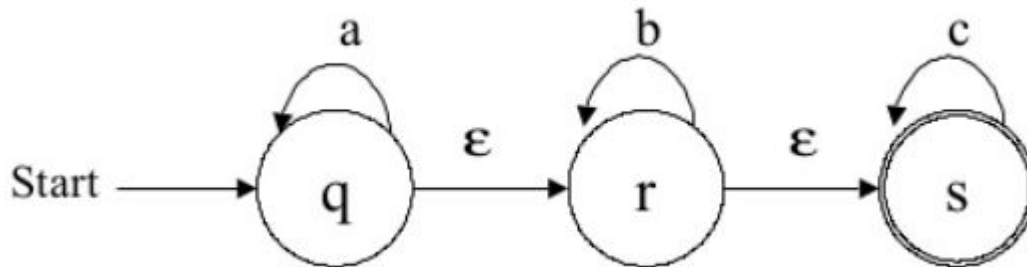
Let NFA with ϵ is $M = (Q, \Sigma, \delta, q_0, F)$

We have to construct **NFA without ϵ** , let it be $M^1 = (Q, \Sigma, \delta^1, q_0, F^1)$

Step 1: find ϵ -closure of all state. If ϵ -closure of any state contains final state of NFA with ϵ then that will be the final state of NFA without ϵ .

Step 2: find transition δ^1 by the rules $\delta^1(q, a) = \epsilon\text{-closure}(\delta(\epsilon\text{-closure}(q), a))$

Example:



$\epsilon\text{-closure}(q) = \{q, r, s\}$, so q will be final state in NFA without ϵ

$\epsilon\text{-closure}(r) = \{r, s\}$, so r will be final state in NFA without ϵ

$\epsilon\text{-closure}(s) = \{s\}$, so s will be final state in NFA without ϵ

$$M^1 = (Q, \Sigma, \delta^1, q_0, F^1)$$

$$Q = \{q, r, s\}, \Sigma = \{a, b, c\}, q_0 = \{q_0\}, F^1 = \{q, r, s\}$$

δ^1 :

$$\delta^1(q, a) = \varepsilon\text{-closure}(\delta(\varepsilon\text{-closure}(q), a)) = \{q, r, s\}$$

$$\delta^1(q, b) = \varepsilon\text{-closure}(\delta(\varepsilon\text{-closure}(q), b)) = \{r, s\}$$

$$\delta^1(q, c) = \varepsilon\text{-closure}(\delta(\varepsilon\text{-closure}(q), c)) = \{s\}$$

$$\delta^1(r, a) = \varepsilon\text{-closure}(\delta(\varepsilon\text{-closure}(r), a)) = \{\}$$

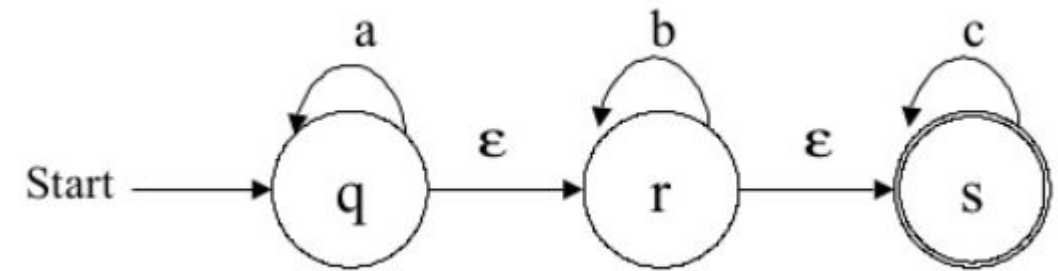
$$\delta^1(r, b) = \varepsilon\text{-closure}(\delta(\varepsilon\text{-closure}(r), b)) = \{r, s\}$$

$$\delta^1(r, c) = \varepsilon\text{-closure}(\delta(\varepsilon\text{-closure}(r), c)) = \{s\}$$

$$\delta^1(s, a) = \varepsilon\text{-closure}(\delta(\varepsilon\text{-closure}(s), a)) = \{\}$$

$$\delta^1(s, b) = \varepsilon\text{-closure}(\delta(\varepsilon\text{-closure}(s), b)) = \{\}$$

$$\delta^1(s, c) = \varepsilon\text{-closure}(\delta(\varepsilon\text{-closure}(s), c)) = \{s\}$$



Example:

Construct NFA without ϵ from the given NFA with ϵ

state/Input	0	1	ϵ
$\rightarrow q_0$	q_1		
$q_1(F)$	q_1		q_2
q_2		q_0	

$$\epsilon\text{-closure}(q_0) = \{q_0\}$$

$$\epsilon\text{-closure}(q_1) = \{q_1, q_2\}$$

$$\epsilon\text{-closure}(q_2) = \{q_2\}$$

So final state will be $\{q_1\}$

$$\delta^1(q_0, 0) = \epsilon\text{-closure}(\delta(\epsilon\text{-closure}(q_0), 0)) = \{q_1, q_2\},$$

$$\delta^1(q_0, 1) = \epsilon\text{-closure}(\delta(\epsilon\text{-closure}(q_0), 1)) = \{\}$$

$$\delta^1(q_1, 0) = \epsilon\text{-closure}(\delta(\epsilon\text{-closure}(q_1), 0)) = \{q_1, q_2\},$$

$$\delta^1(q_1, 1) = \epsilon\text{-closure}(\delta(\epsilon\text{-closure}(q_1), 1)) = \{q_0\}$$

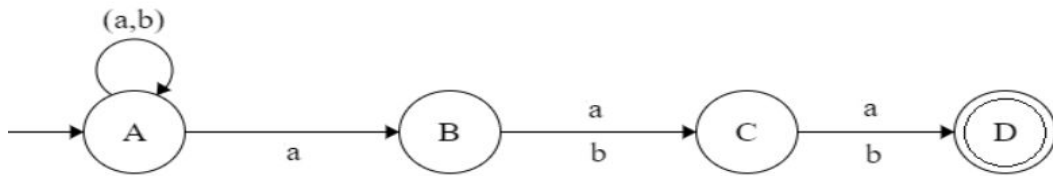
$$\delta^1(q_2, 0) = \epsilon\text{-closure}(\delta(\epsilon\text{-closure}(q_2), 0)) = \{\},$$

$$\delta^1(q_2, 1) = \epsilon\text{-closure}(\delta(\epsilon\text{-closure}(q_2), 1)) = \{q_0\}$$

NFA and DFA are equivalent

Example:

Construct DFA from the given NFA without ϵ



State/ Σ	a	b
$\rightarrow A$	{A, B }	A
B	C	C
C	D	D
D(F)	\varnothing	\varnothing

Solution:

State/ Σ	a	b
$\rightarrow [A]$	[A,B]	[A]
[A,B]	[A,B,C]	[A,C]
[A,C]	[A,B,D]	[A,D]
[A,D]	[A,B]	[A]
[A,B,C]	[A,B,C,D]	[A,C,D]
[A,B,D] [*]	[A,B,C]	[A,C]
[A,C,D] [*]	[A,B,D]	[A,D]
[A,B,C,D] [*]	[A,B,C,D]	[A,C,D]

State/ Σ	a	b
$\rightarrow [A]$	[A, B]	[A]
[A, B]	[A, B, C]	[A, C]
[A, C]	[A, B, D]	[A, D]
$[A, D]^*$	[A, B]	[A]
[A, B, C]	[A, B, C, D]	[A, C, D]
$[A, B, D]^*$	[A, B, C]	[A, C]
$[A, C, D]^*$	[A, B, D]	[A, D]
$[A, B, C, D]^*$	[A, B, C, D]	[A, C, D]

Construct DFA from the given NFA without ϵ

Construct a deterministic automaton equivalent to given NFA

State/ Σ	a	b
$\rightarrow q_0(F)$	q_0	q_1
q_1	q_1	q_0, q_1

Find a deterministic acceptor equivalent to

$$M = (\{q_0, q_1, q_2\}, \{a, b\}, \delta, q_0, \{q_2\})$$

State/ Σ	a	b
$\rightarrow [q_0](F)$	$[q_0]$	$[q_1]$
$[q_1]$	$[q_1]$	$[q_0, q_1]$
$[q_0, q_1](F)$	$[q_0, q_1]$	$[q_0, q_1]$

Example: Construct a deterministic automaton equivalent to given NFA

State/ Σ	a	b
$\rightarrow q_0$	{q0, q1}	q0
q1	q2	q1
q2	q3	q3
q3(F)		q2

State/ Σ	a	b
$\square[q_0]$	[q0,q1]	[q0]
[q0,q1]	[q0,q1,q2]	[q0,q1]
[q0,q1,q2]	[q0,q1,q2,q3]	[q0,q1,q3]
[q0,q1,q3]*	[q0,q1,q2]	[q0,q1,q2]
[q0,q1,q2,q3]*	[q0,q1,q2,q3]	[q0,q1,q2,q3]

Solution

Let $Q = \{q_0, q_1, q_2, q_3\}$. Then the deterministic automaton M_1 equivalent to M is given by

$$M_1 = (2^Q, \{a, b\}, \delta, [q_0], F)$$

State/ Σ	a	b
$\square[q_0]$	$[q_0, q_1]$	$[q_0]$
$[q_0, q_1]$	$[q_0, q_1, q_2]$	$[q_0, q_1]$
$[q_0, q_1, q_2]$	$[q_0, q_1, q_2, q_3]$	$[q_0, q_1, q_3]$
$[q_0, q_1, q_3]^*$	$[q_0, q_1, q_2]$	$[q_0, q_1, q_2]$
$[q_0, q_1, q_2, q_3]^*$	$[q_0, q_1, q_2, q_3]$	$[q_0, q_1, q_2, q_3]$

Theorem:

Any transition function δ , input string x and symbol y

$$\delta(q, xy) = \delta(\delta(q, x), y)$$

Theorem:

Any transition function δ and two input string x and y

$$\delta(q, xy) = \delta(\delta(q, x), y)$$

Proof:

We can proof by method of induction for $|y|$

Let $|y| = 1$ ie $y = a$

By known result $\delta(q, xa) = \delta(\delta(q, x), a)$

Let it is true for $|y| = n$

$$\delta(q, xy) = \delta(\delta(q, x), y)$$

We have to prove for $|y| = n+1$;

$$\begin{aligned} \text{LHS: } \delta(q, xy) &= \delta(q, xy_1a) = \delta(\delta(q, xy_1), a) = \delta(\delta(\delta(q, x)y_1), a) \\ &= \delta(\delta(\delta(q, x), y_1), a) = \delta(\delta(q, x), y) \end{aligned}$$

$$\text{RHS: } \delta(\delta(q, x), y) = \delta(\delta(q, x), y_1a) = \delta(\delta(\delta(q, x), y_1), a) = \delta(\delta(q, x), y)$$

Theorem:

Every nondeterministic finite automata has an equivalent deterministic finite automata

Proof:

Let $N = (Q, \Sigma, \delta, q_0, F)$ be an NFA recognizing some language A .

We construct a DFA M recognizing A .

Consider N has no ϵ .

Consider $M = (Q^1, \Sigma, \delta^1, q_0^1, F^1)$

1. $Q^1 = P(Q)$ every state of M is a set of state of N
2. For $R \in Q^1$ and $a \in \Sigma$ let $\delta^1(R, a) = \{ q \in Q \mid q \in \delta(r, a) \text{ for some } r \in R \}$ if R is a state of M , it also set of state of N . When M reads a symbol a in state R , it shows where a takes each state of R . we can write $\delta(R, a) = \bigcup_{r \in R} \delta(r, a)$
3. $q_0^1 = \{q_0\}$

4. $F^1 = \{R \in Q^1 \mid R \text{ contains an state of } N\}$

The machine M accepts if one of the possible state that N could be in this point is an accept state.

Now consider N ϵ move.

For any state R of M we defined $E(R)$ to be collection of state that can reach from R going on ϵ arrow including the member of R themselves for R subset of Q

$E(R) = \{q \mid q \text{ can be reached from } R \text{ by traveling along } \epsilon \text{ only}\}$

We modify the transition function of M by

$\delta^1(R, a) = \{q \in Q \mid q \in E(\delta(r, a)) \text{ for some } r \in R\}$

Now this DFA M equivalent to the NFA N .

Minimization of DFA:

Note: Equivalence: Two state q_0 and q_1 said to be equivalent if both $\delta(q_0, x)$ and $\delta(q_1, x)$, both are final state or both of them are non final state.

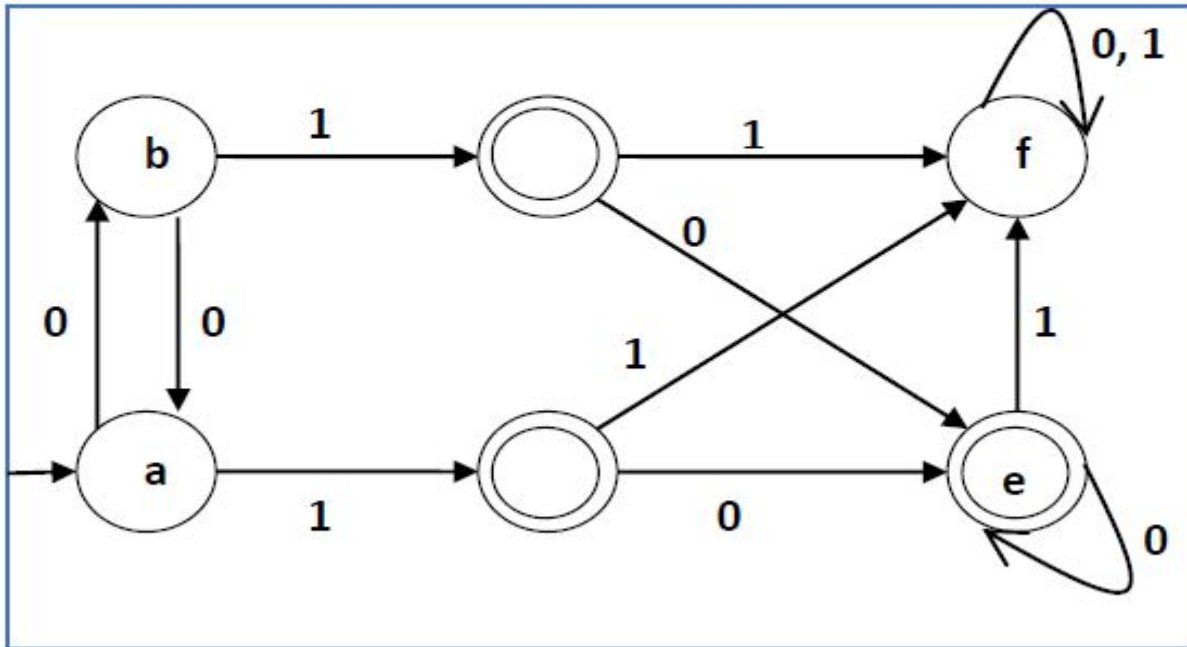
(k+1)Equivalent: Two state q_0 and q_1 said to be (k+1) equivalent if they are (k) equivalent and both $\delta(q_0, x)$ and $\delta(q_1, x)$, are also k- equivalent for every a in alphabet.

Algorithms Minimization of DFA

- Step 1: Remove all unreachable states (The states which can never be reached from initial state)
- Step 2 : Divide all vertices into two sets i.e. One set of final states and second one for non-final states.
- Step 3: For each state in both the sets , find the transition of an alphabet (only one alphabet) .
If the transition state is the element of another set or class then separate these states from that set and make another class.
- Step 4: Repeat the Step 3 until all the classes are made.
- Step 5: Repeat Step 3 and 4 for all alphabets.
- Step 6 : After completion of all steps, draw the DFA

Example

Let us consider the following DFA:



q	$\delta(q,0)$	$\delta(q,1)$
a	b	c
b	a	d
c	e	f
d	e	f
e	e	f
f	f	f

$$\Pi_0 = \{\{c, d, e\}, \{a, b, f\}\}$$

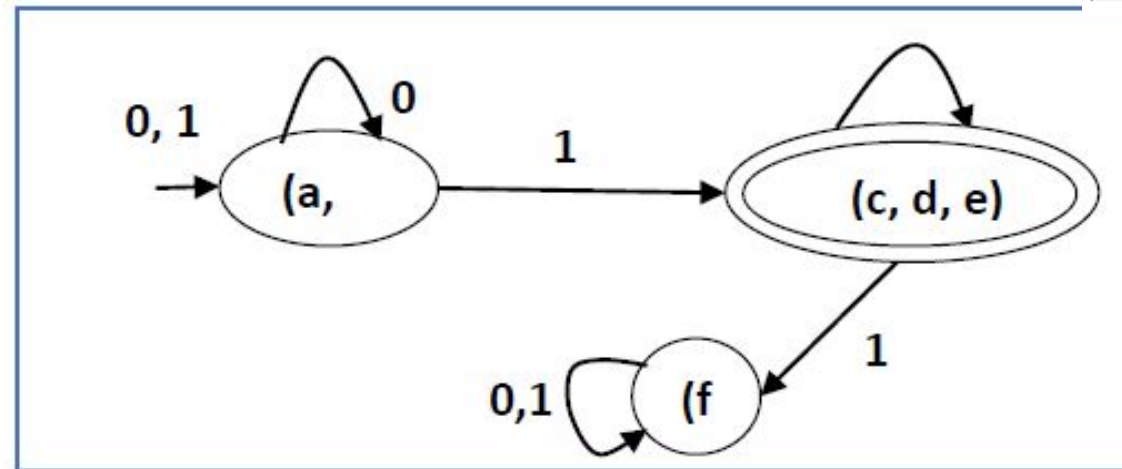
$$\Pi_1 = \{c, d, e\}, \{a, b\}, \{f\}$$

$$\Pi_2 = \{c, d, e\}, \{a, b\}, \{f\}$$

q	$\delta(q,0)$	$\delta(q,1)$
a	b ✓	c ✓
b	a ✓	d ✓
c	e	f
d	e	f
e	e	f
f	f	f

There are three states in the reduced DFA. The reduced DFA is as follows:

Q	$\delta(q,0)$	$\delta(q,1)$
(a, b)	(a, b)	(c, d, e)
(c, d, e)	(c, d, e)	(f)
(f)	(f)	(f)



State Table and State Diagram of Reduced DFA

Minimization of DFA:

State/ Σ	0	1
$\rightarrow q_0$	q1	q5
q1	q6	q2
q2(f)	q0	q2
q3	q2	q6
q4	q7	q5
q5	q2	q6
q6	q6	q4
q7	q6	q2

$$\Pi_0 = \{ \{q_2\}, \{q_0, q_1, q_3, q_4, q_5, q_6, q_7\} \}$$

$$\Pi_1 = \{ \{q_2\}, \{q_0, q_4, q_6\}, \{q_1, q_7\}, \{q_3, q_5\} \}$$

$$\Pi_2 = \{ \{q_2\}, \{q_0, q_4\}, \{q_6\}, \{q_1, q_7\}, \{q_3, q_5\} \}$$

$$\Pi_3 = \{ \{q_2\}, \{q_0, q_4\}, \{q_6\}, \{q_1, q_7\}, \{q_3, q_5\} \}$$

$$M = (Q^1, \{0, 1\}, \delta^1, q_0^1, F^1)$$

Where

$$Q^1 = \{ [q_2], [q_0, q_4], [q_6], [q_1, q_7], [q_3, q_5] \}$$

$$q_0^1 = \{ [q_0, q_4] \}$$

State/ Σ	0	1
-->q0	q1	q5
q1	q6	q2
q2(f)	q0	q2
q3	q2	q6
q4	q7	q5
q5	q2	q6
q6	q6	q4
q7	q6	q2

State/ Σ	0	1
-->[q0,q4]	[q1,q7]	[q3,q5]
[q1,q7]	[q6]	[q2]
[q2](F)	[q0,q4]	[q2]
[q3,q5]	[q2]	[q6]
[q6]	[q6]	[q0,q4]

$Q^1 = \{ [q2], [q0,q4], [q6], [q1,q7], [q3,q5] \}$

Minimization of DFA:

State/ Σ	A	b
$\rightarrow q_0$	q_0	q_3
q_1	q_2	q_5
q_2	q_3	q_4
q_3	q_0	q_5
q_4	q_0	q_6
q_5	q_1	q_4
$Q_6(f)$	q_1	q_3

$$\Pi_0 = \{ \{q_6\}, \{q_0, q_1, q_2, q_3, q_4, q_5\} \}$$

$$\Pi_1 = \{ \{q_6\}, \{q_0, q_1, q_2, q_3, q_5\}, \{q_4\} \}$$

$$\Pi_2 = \{ \{q_6\}, \{q_0, q_1, q_3\}, \{q_2, q_5\}, \{q_4\} \}$$

$$\Pi_3 = \{ \{q_6\}, \{q_0\}, \{q_1\}, \{q_3\}, \{q_2, q_5\}, \{q_4\} \}$$

$$\Pi_4 = \{ \{q_6\}, \{q_0\}, \{q_1\}, \{q_3\}, \{q_2\}, \{q_5\}, \{q_4\} \}$$

Hence the give DFA is minimized one.

Minimization of DFA:

State/ Σ	a	b
$\rightarrow q_0$	q1	q0
q1	q0	q2
q2	q3	q1
q3(f)	q3	q0
q4	q3	q5
q5	q6	q4
q6	q5	q6
q7	q6	q3

$$\Pi_0 = \{ \{q_3\}, \{q_0, q_1, q_2, q_4, q_5, q_6, q_7\} \}$$

$$\Pi_1 = \{ \{q_3\}, \{q_0, q_1, q_5, q_6\}, \{q_2, q_4, q_7\} \}$$

$$\Pi_1 = \{ \{q_3\}, \{q_0, q_1, q_5, q_6\}, \{q_2, q_4\}, \{q_7\} \}$$

$$\Pi_2 = \{ \{q_3\}, \{q_0, q_6\}, \{q_1, q_5\}, \{q_2, q_4\}, \{q_7\} \}$$

$$\Pi_2 = \{ \{q_3\}, \{q_0, q_6\}, \{q_1, q_5\}, \{q_2, q_4\}, \{q_7\} \}$$

$$\Pi_3 = \{ \{q_3\}, \{q_0, q_6\}, \{q_1, q_5\}, \{q_2, q_4\}, \{q_7\} \}$$

$$M = (Q^1, \{a, b\}, \delta^1, q_0^1, F^1)$$

Where

$$Q^1 = \{ [q_3], [q_0, q_6], [q_1, q_5], [q_2, q_4], [q_7] \}$$

$$q_0^1 = \{ [q_0, q_6] \}$$

Hence the minimal automata is ----(next page)

State/ Σ	a	b
$\rightarrow [q_0, q_6]$	$[q_1, q_5]$	$[q_0, q_6]$
$[q_1, q_5]$	$[q_0, q_6]$	$[q_2, q_4]$
$[q_0, q_6]$	$[q_1, q_5]$	$[q_0, q_6]$
$[q_2, q_4]$	$[q_3]$	$[q_1, q_5]$
$[q_3](F)$	$[q_3]$	$[q_0, q_6]$

$Q^1 = \{[q_3], [q_0, q_6], [q_1, q_5], [q_2, q_4], [q_7]\}$
 $q_0^1 = \{[q_0, q_6]\}$

State/ Σ	a	b
$\rightarrow q_0$	q_1	q_0
q_1	q_0	q_2
q_2	q_3	q_1
$q_3(f)$	q_3	q_0
q_4	q_3	q_5
q_5	q_6	q_4
q_6	q_5	q_6
q_7	q_6	q_3

Minimization of DFA Algorithms:

Step 1: (construction Π_0). by the definition of \sim -equivalence $\Pi_0 = \{ q_1^0, q_2^0 \}$, where q_1^0 is set of final state and q_2^0 is set of non final state.

Step 2: (construction Π_{k+1} from Π_k). Let Q_i^k be any subset in Π_k . If q_1, q_2 are in Q_i^k , they are $(k+1)$ equivalent provided $\delta(q_1, a)$ and $\delta(q_2, a)$ are k -equivalent. Find out whether $\delta(q_1, a)$ and $\delta(q_2, a)$ are in same equivalence class in Π_k for every $a \in \Sigma$. if so q_1 and q_2 are $(k+1)$ equivalent. Repeat this for every Q_i^k in Π_k to get all the element of Π_{k+1} .

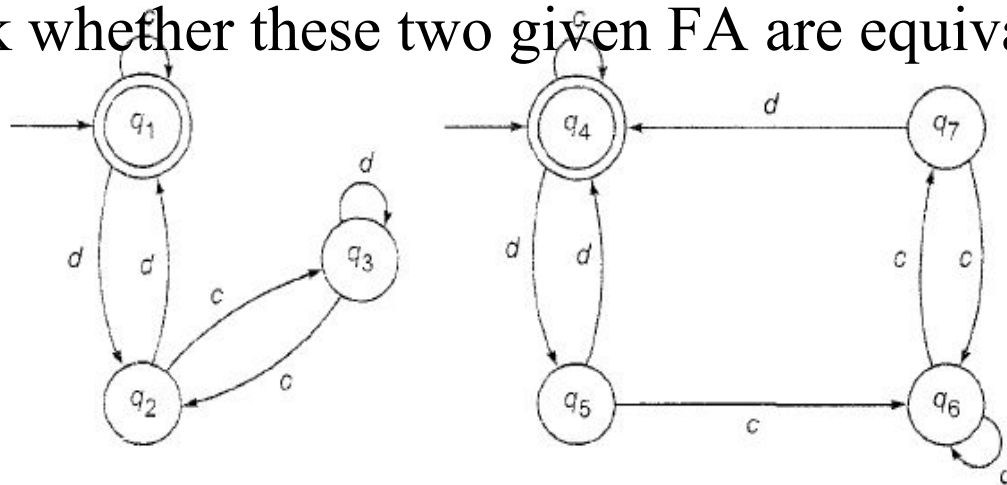
Step 3: construct Π_n for $n=1, 2, 3, \dots$ until $\Pi_n = \Pi_{n+1}$

Step 4: (construction of minimum Automata). For the required minimum state automata, the state are equivalence classes obtained in step 3. The state table is obtained by replacing a state q by the corresponding equivalence class $[q]$.

EQUIVALENCE OF TWO FINITE AUTOMATA

Example:

Check whether these two given FA are equivalent or not



(q, q^1)	(q_c, q_c^1)	(q_d, q_d^1)
$(q1, q4)$		

Solution:

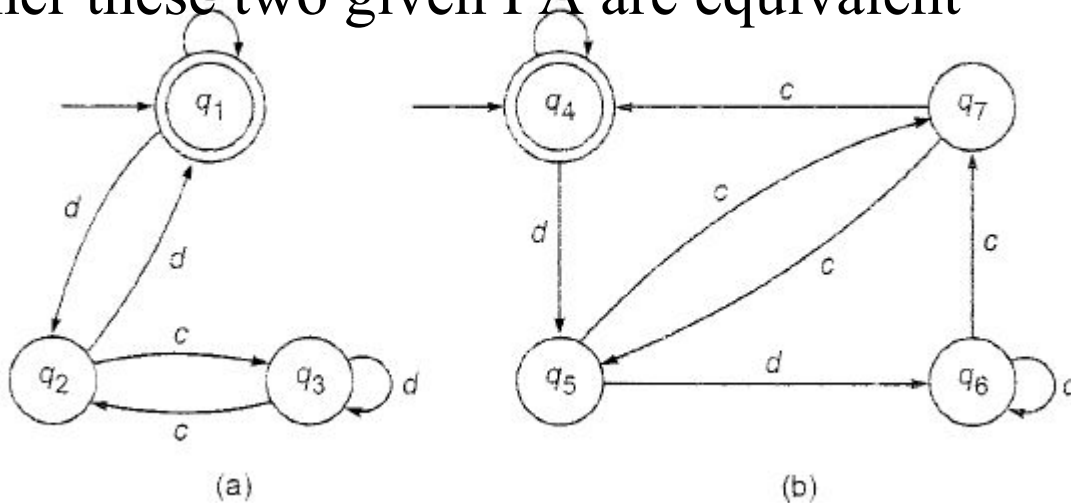
(q, q^1)	(q_c, q_c^1)	(q_d, q_d^1)
$(q1, q4)$	$(q1, q4)$	$(q2, q5)$
$(q2, q5)$	$(q3, q6)$	$(q1, q4)$
$(q3, q6)$	$(q2, q7)$	$(q3, q6)$
$(q2, q7)$	$(q3, q6)$	$(q1, q4)$

As in the pair (q, q^1) , are both final state or both are non final state so two FA M and M^1 are equivalent.

EQUIVALENCE OF TWO FINITE AUTOMATA

Example:

Check whether these two given FA are equivalent or not



Solution:

(q, q^1)	(q_c, q_c^1)	(q_d, q_d^1)
(q1,q4)	(q1,q4)	(q2,q5)
(q2,q5)	(q3,q7)	(q1,q6)
(q1,q6)		

(q, q^1)	(q_c, q_c^1)	(q_d, q_d^1)
(q1,q4)		

As in the pair (q1, q6), q1 is final state in M and q6 is non final state in M¹, the given two FA is not equivalent.

Thank You